

FORM PTO-1396
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

6237.US.01

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/529617INTERNATIONAL APPLICATION NO.
PCT/US98/21815INTERNATIONAL FILING DATE
16 October 1998 (16.10.1998)PRIORITY DATE CLAIMED
16 October 1997 (16.10.1997)

TITLE OF INVENTION
BOISENSOR ELECTRODE MEDIATORS FOR REGENERATION OF COFACTORS

APPLICANT(S) FOR DO/EO/US
FORROW, Nigel J., SANGHERA, Gurdial S., WATKIN, Jared L., and WALTERS, Stephen

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information: (Unexecuted) Declaration and Power of Attorney & Return Receipt Postcard

97/529617

RTMF

INTERNATIONAL APPLICATION NO.
CT/US98/21815

422 Rec'd PCT PTO

14 APR 2000

ATTORNEY'S DOCKET NUMBER
6237.US.01

- 17.
- ☒
- The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5))

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(c)).

\$

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
|--------------------|--------------|--------------|-----------|
| Total claims | 11 -20 = | 0 | X \$18.00 |
| Independent claims | 2 -3 = | 0 | X \$78.00 |

\$ 00.00

\$ 00.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+\$260.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 840.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0.00

SUBTOTAL =

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 840.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 840.00

Amount to be:
refunded \$
charged \$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 01-0025 in the amount of \$ 840.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

David L. Weinstein
Abbott Laboratories
Dept., 377 - AP6D/2
100 Abbott Park Road

Abbott Park,, IL 60064-6050

SIGNATURE

David L. Weinstein

NAME

28,128

REGISTRATION NUMBER

09/529617
520 Rec'd PCT/PTO 14 APR 2000

Practitioner's Docket No. 6237.US.O1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: N. J. Forrow *et al.*

Serial No.:

Group No.:

Filed: April 14, 2000

Examiner:

For: BIOSENSOR ELECTRODE MEDIATORS FOR REGENERATION OF COFACTORS

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 507 362 745 US

Date of Deposit: April 14, 2000

I hereby state that the following *attached* paper or fee

Transmittal Letter – 1 pg. (in duplicate)

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under
35 USC 371 – 2 pages (in duplicate)

Unexecuted Declaration and Power of Attorney – 3 pages

Return-Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

Karen R. Smith

Karen R. Smith
Signature of person mailing paper or fee

(Express Mail Certificate)

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: N. J. Forrow, *et al.*

Serial No.: Not yet assigned

Filed: April 14, 2000

For: BIOSENSOR ELECTRODE
MEDIATORS FOR REGENERATION OF
COFACTORS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Case No.: 6237.US.01

Date: April 14, 2000

EXPRESS MAIL NO.: EL 507 362 745 US

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as Express Mail Post Office to Addressee Service on the date shown below with sufficient postage in an envelope addressed to the:

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231, on:

Date of Deposit: April 14, 2000

Karen R. Smith 4/14/00
Karen R. Smith

TRANSMITTAL LETTER

**Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231**

Dear Sir:

Enclosed herewith is the PCT Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C.371 for National Phase Filing (in duplicate) of N. J. Forrow, *et al.*, for BIOSENSOR ELECTRODE MEDIATORS FOR REGENERATION OF COFACTORS.

Also enclosed are:

Unexecuted Declaration & Power of Attorney
Express Mail Certificate
Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.17 concerning this transaction, or to credit any overpayment to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

ABBOTT LABORATORIES
0377/AP6D-2
100 Abbott Park Road
Abbott Park, IL 60064-6050
Phone No.: (847) 937-6182
Fax No.: (847) 938-2623

Respectfully submitted,
N. J. Forrow, *et al.*

David L. Weinstein
David L. Weinstein
Reg. No.: 28,128
Attorney for Applicant